



05-26-04

AF/3628

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P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

Signed:

Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No.

File: NewMrkt-P99-4

Date: May 25, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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GROUP 3600

| | | |
|----------------|---|---|
| Inventors | : | Anthony F. Herbst and Wayne F. Perg |
| Serial No. | : | 09/467,646 |
| Filed | : | December 20, 1999 |
| For | : | DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND |
| Group Art Unit | : | 3628 |
| Examiner | : | Poinvil, Frantzy |

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

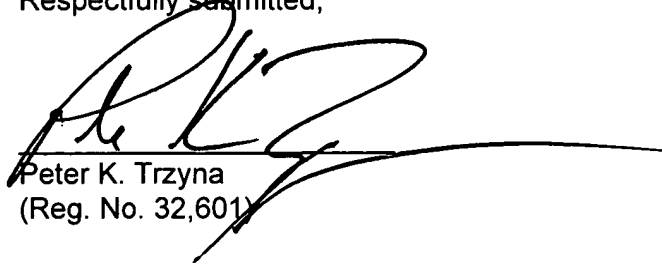
Please enter the following enclosed documents in the above-identified patent
application.

1. Notice of Appeal.

Applicant claims small entity status. The Commissioner is hereby authorized to
charge any fees associated with the above-identified patent application or credit any overcharges
to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna
(Reg. No. 32,601)

Date: May 25, 2004

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824



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Signed: _____

Peter K. Trzyna (Reg. No. 32,601)

Date: May 25, 2004

PATENT

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Washington, D.C. 20231

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NOTICE OF APPEAL

S I R:

Applicant hereby submits this Notice of Appeal to appeal to the Board of Patent
Appeals from the decision of the Examiner May 20, 2004, twice rejecting all claims.


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charge any fees associated with the above-identified patent application or credit any
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